**FORMISR–1**

**REQUESTFORREGISTERINGPAN,KYCDETAILSORCHANGES/UPDATIONTHEREOF**

In pursuance of SEBIcircularNo.SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655datedNovember03,2021

[ForSecurities(Shares/Debentures/Bonds,etc.)oflistedcompaniesheldinphysicalform]

*(****Important: Read Instructions as specified overleaf carefully before submitting the Form****)*

|  |  |  |
| --- | --- | --- |
| * PAN | * Signature | * MobileNumber |
| * Bankdetails | * RegisteredAddress | * E-mailID |

# I/We,requestyoutoRegister/Change/Updatethefollowing(Tick✓relevantbox) Date: \_\_\_\_/\_\_\_/\_\_\_\_\_\_\_

1. **SecurityDetails**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Name oftheIssuerCompany** |  | | **Folio No.** |  |
| **Number and Face value ofSecurities** |  | | | |
| **Distinctive numberofSecurities (Optional)** | **From** | **To** | | |
|  |  | | |

1. **I/WearesubmittingdocumentsasperTablebelow**(tick✓asrelevant,refertotheinstructions overleaf):

|  |  |  |  |
| --- | --- | --- | --- |
| 1. | **PAN of (all) the (joint) Holders***(Refer Instruction No 1 overleaf)* | | |
|  | Name(s)oftheSecurityholder(s)inCapitalasperthe Certificate (s) | **PAN** | **PANLinkedtoAadhaar-Y/NTickanyone[**✓**]\*** |
| 1. |  | Yes/No |
| 2. |  | Yes/No |
| 3. |  | Yes/No |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 2. | **DematAccountNumber\*** |  | **Client Master List Number (CML)** |  |

*\*(Refer Instruction No 2 overleaf)*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 3. | **Full Address***(Refer Instruction No 3 overleaf)* | | | | |
| Name | **FirstHolder** | | **JointHolder–1** | | **JointHolder–2** | |
|  |  | |  | |
| Full Address | **PIN\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | **PIN\_\_\_\_\_\_\_\_\_\_\_** | | **PIN\_\_\_\_\_\_\_\_\_\_\_** | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 4. | **BankDetails***(Refer Instruction No 4 overleaf)* | | | |
|  | **NameoftheBank&Branch** |  | | |
| **Bank Account No.** |  | **IFSC Code** |  |

*#(Refer Instruction No 5 & 6 overleaf)*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 5. | **E mail Address#** |  | 6. | **Mobile No#** |  |

**Authorisation :** I/Weauthoriseyou (RTA)to updatethe abovePAN andKYC details inmy/ourabove folio(s)(useseparateAnnexure ifextraspaceis required) in whichI/wearetheholder(s). (***strike offwhatisnotapplicable***)

**Declaration:**Alltheabovefactsanddocumentsenclosedas per checklist overleaf aretrueandcorrect.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **FirstHolder** | **JointHolder-1** | **JointHolder-2** |
| Name |  |  |  |
| Signature^ |  |  |  |

*^(Refer Instruction No 7 overleaf)*

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**INSTRUCTIONS FOR SUBMISSION OF FORM ISR – 1**

|  |  |  |  |
| --- | --- | --- | --- |
| **No.** | **Tick**  **√** | **Document/Information/Details** | **Instruction/Remark** |
| (1) | (2) | (3) | (4) |
| **1** |  | **PAN of (all) the (joint) holder(s)** | PAN copies of all the holder(s) duly self-attested with date to be enclosed.  PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI circular.  *(To knowthe statusofyour PAN Linked to Aadhaar checkon link:*  *https://*[*www.incometax.gov.in/iec/foportal*](http://www.incometax.gov.in/iec/foportal) *)* |
| **2** |  | **Demat Account Number** | Provide Client Master List (CML) of your Demat Account, provided by the Depository Participant. |
|  |  |  |  |
| **3** |  | **Proof of Address of the first Holder** | Provide self-attested copy of any ONE of the documents, issued by a Govt. Authority, **only if there is change in the address;**   * Client Master List (CML) of your Demat Account, provided by the Depository Participant (DP). * Valid Passport/ Registered Lease or Sale Agreement of Residence/ Driving License/Flat Maintenance Bill. * Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. * Identity card / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. * For FII / sub account, Power of Attorney given by FII / sub- account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address. * The proof of address in the name of the spouse |
| **4** |  | **Bank Details** | Provide Original cancelled cheque bearing the name of first holder printed on it or Bank Passbook or Bank Statement attested by the Bank. In case it is not provided, the details available in the CML will be updated in the folio. |
| **5** |  | **E-mail address** | As mentioned on Form ISR-1. In case it is not provided on Form ISR-1, the E-mail address available in the CML will be updated in the folio. |
| **6** |  | **Mobile** | As mentioned on Form ISR-1, In case it is not providedon Form ISR-1, the Mobile No. available in the CML will be updated in the folio. |
| **7** |  | **Specimen Signature** | Provide banker’s attestation of the signature of the holder(s) as per Form ISR – 2 and Original cancelled cheque leaf bearing the name of the first holder. |
| **8** |  | **Nomination** | **Submit Form(s) as per any ONE of the following options:**   * **SH-13** For First Time Nomination * **SH-14** For Cancellation or Variation in Nomination * **SH-14 and ISR-3** For Cancellation of Nomination and to “Opt-Out” * **ISR-3 To “OPTOut”** of Nomination or if No-Nomination is required |

*Please tick mark √ in Column 2 for documents which you are submitting with ISR-1*

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